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16-10-01

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PTO/SB/05 (03-01)

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10/09/01

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

For new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **104410-100** Total Pages **24**

First Named Inventor or Application Identifier

**G. SMALL**

Express Mail Label No. **EL 884291148 US**

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status  
See 37 CFR 1.27.
3. ☒ Specification **Total Pages 16**  
(preferred arrangement set forth below)  
-Descriptive title of the Invention  
-Cross Reference to Related Applications  
-Statement Regarding Fed Sponsored R&D  
-Reference to Sequence Listing, a table, or a  
computer program listing appendix  
-Background of the Invention  
-Brief Summary of the Invention  
-Brief Description of the Drawings (if filed)  
-Detailed Description  
-Claim(s)  
-Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) **Total Sheets 6**
- Oath or Declaration **Total Pages 2**
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer  
Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:  
i. ☐ CD ROM or CD-R (2 copies); or  
ii. ☐ Paper
- c. ☐ Statements verifying identity of above copies.

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449  
☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Non-publication Request Under 35 U.S.C.  
122(b)(2)(B)(i). Applicant must attach form  
PTO/SB/35 or its equivalent
17. ☐ Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no. filed

Prior Application Information: Examiner: \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

**19. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code

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NAME

ADDRESS

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STATE

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Name (Print/Type)

Jeffrey A. Wolfson (Reg. No. 42,234)  
For: Allan A. Fanucci (Reg. No. 30,256)

Signature

*Jeffrey A. Wolfson*

Date

*Oct. 9, 2001*

**WINSTON & STRAWN**  
**200 PARK AVENUE**  
**NEW YORK, NEW YORK 10166-4193**  
**212-294-6700**

ATTORNEY DOCKET NO.: 104410-100

Assistant Commissioner for Patents  
**Box PATENT APPLICATION**  
 Washington, D.C. 20231

Sir:

The following utility patent application is enclosed for filing:

Applicant(s): George H. SMALL

Executed on: October 2, 2001

Title of the Invention: UMBILICAL CORD CLAMP AND METHODS OF USING SAME

**PATENT APPLICATION FEE VALUE**

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE
Total Claims	25	-20	5	\$18.00	\$ 90.00
Independent	3	-3		\$84.00	\$ 0.00
Minimum Fee					\$ 740.00
Multiple Dependency Fee If Applicable (\$280.00)					\$ 0.00
<b>Total</b>					\$ 830.00
50% Reduction for independent inventor, nonprofit organization or small business concern (verified statement as to the applicant's status is attached)					-\$ 0.00
<b>TOTAL FILING FEE</b>					\$ 830.00

☒ Please charge the required fee to Winston & Strawn Deposit Account No. 501-814. A copy of this sheet is attached.

Respectfully submitted,

Oct. 9, 2001  
 Date

Jeffrey A. Wolfson  
 Jeffrey A. Wolfson (Reg. No. 42,234)  
 For: Allan A. Fanucci (Reg. No. 30,256)

**WINSTON & STRAWN**